

**METHOD AND PROCESSOR ENGINE ARCHITECTURE FOR THE
DELIVERY OF AUDIO AND VIDEO CONTENT OVER A BROADBAND
NETWORK**

CROSS REFERENCE TO RELATED APPLICATIONS

- 5 This application is related to U.S. Serial No. 60/210,440 filed June 8, 2000
(AGLE0001PR), entitled "Method and Apparatus for Centralized Voice-Driven
Natural Language Processing in Multi-Media and High Band" by inventors
Ted Calderone, Paul Cook, and Mark Foster and to U.S. Serial No.
09/679,115 filed October 4, 2000 (AGLE0003), entitled "System and Method
10 of a Multi-Dimensional Plex Communication Network" by Theodore Calderone
and Mark J. Foster.

Field of the Invention

- The present invention relates to the field of delivering compressed audio or
video (AV) content over a broadband network. The present invention further
15 relates to the field of delivering user requested AV content, which is retrieved
from a switched backbone network such as the Internet, over a broadband
network. The present invention further relates to the field of delivering video-
on-demand over a broadband network.

Background

Access to the Internet has experienced widespread growth. Owing to the growth in access has been the decreased cost of the software and hardware necessary for gaining access. However, notwithstanding the decreased cost of the hardware necessary for accessing the Internet, a significant segment of the population still cannot afford the costs associated with the traditional hardware necessary to access the Internet. Thus, while the Internet has the potential to positively impact people's lives, economic barriers remain a substantial impediment to many. It follows that a need exists for a less expensive Internet access means to reach that segment of the population that cannot ordinarily afford an Internet access system.

Ordinarily, one must sacrifice performance to provide a more affordable Internet access system. Thus, Internet access system designers have sacrificed performance as they looked for ways to save costs. At least one prior Internet access system takes advantage of the circumstance that a great number of homes already have televisions and use the television CRT and sound system through which the output of a Internet application session is conveyed to the user. This prior art solution however features complex customer electronics that rival the cost and complexity of most desktop

Internet access systems. Moreover, this prior art solution further requires a separate physical transport channel for the bi-directional communications between each STB **500** and the Internet Service Provider (ISP).

Most homes are also connectable to a Residential Broadband (RBB) Access

5 Network. A generic cable-television (CATV) Hybrid Fiber Coaxial (HFC) network is an example of such an RBB network. Referring to figure 1, a generic HFC network is characteristically hierarchical and comprises a Metropolitan Headend **92** coupled to a plurality of local Headends **94**, each local Headend **94** being further coupled to a plurality of Nodes **96**. In a point-
10 to-multipoint (PTMP) Access Network, each Node **96** is further coupled to a plurality of Set-Top-Boxes ("STB") **500** via a shared coaxial line - typically through a local interface **98** that provides bi-directional amplification of the HFC network communications.

The HFC network is currently used as a transport layer to deliver digitally
15 compressed CATV programming to homes. Particularly, current digital CATV systems use MPEG2 transport streams (TS) and require that the home display device include a MPEG2 decoder. MPEG2 TS comprise audio, video, text or data streams that further include (PIDs), Program Identifiers. A PID identifies the desired TS for the MPEG2 decoder and is mapped to a

particular program in a Program Map Table (PMT). Thus, a PID table and

PMT within the decoder define the possible program choices for a digital

CATV decoder and tuning a program for a digital CATV STB **500** comprises

joining a TS of MPEG2 encoded frames. The PID table and PMT are

5 remotely updated by the CATV service provider when the viewers choices for programming change.

MPEG2 compression is well known in the art. MPEG2 compression features

both spatial and temporal compression. MPEG2 spatial compression

comprises an application of the Discrete Cosine Transform (DCT) on groups

10 of bits (e.g. 8 x 8 pixel blocks) that comprise a complete and single frame of visual content to distill an array of DCT coefficients that is representative of

the frame of visual content. The resulting array of DCT coefficients are

subsequently submitted to Huffman run-length compression. The array of

compressed DCT coefficients represents one frame of displayable video and

15 is referred to as an MPEG2 Intra frame (I-frame) when combined with a PID identifiable by a STB **500**.

Temporal compression in MPEG2 comprises using knowledge of the contents

of the prior video frame image and applying motion prediction to further bit

reduction. MPEG2 temporal compression uses Predicted frames (P-frames)

which are predicted from I-frames or other P-frames, and Bi-directional frames (B-frames) that are interpolated between I-frames and P-frames (For a discussion of MPEG-2, see B. Haskell, A. Puri, A. Netravali, Digital Video: An Instruction to MPEG-2, Kluwer Academic Publishers (1997)). An increased

5 use of B-frames and P-frames account for the greatest bit reduction in MPEG2 TS and can provide acceptable picture quality so long as there is not much motion in the video or no substantial change in the overall video image from frame to frame. The occurrence of a substantial change in the video display requires calculation and transmittal of a new I-frame. An MPEG2
10 Group of Pictures (GoP) refers to the set of frames between subsequent I-frames.

The HFC network may also support upstream data communication from each STB **500** in the 5-40 MHz frequencies. If so, upstream data communication is typically supported between each STB **500** and upstream communications
15 receiving equipment **97** (hereinafter "RCVR **97**") situated either at the Node **96** or the Headend **94**. Upstream communication from each STB **500** enables requests for special programming to be communicated to the cable television service provider (e.g. request a PID associated with a particular pay per view program). Upstream data communication also conveniently permits collective

management of the plurality of STBs **500** by an administrative function that is conveniently located elsewhere on the HFC.

Thus, one potential means of providing Internet access uses the RBB network such as the CATV HFC network as the transport layer through which bi-

5 directional data communications are conveyed to and from an ISP. However, the upstream bandwidth on the HFC network is limited, and will without doubt come under increased demands as this prior art solution and other applications seek to take advantage of this HFC network capability.

Therefore, the efficient use of this limited upstream bandwidth presents a
10 hurdle to creators of bi-directional communication based applications implemented on the HFC network.

One potential approach that accommodates the limited upstream bandwidth uses the home television as a display device, and a STB **500** incorporating the functions of a "thin" remote client. The remote client may be incorporated
15 into the STB **500** for convenience or into the display device. See figures 2a and 2b. The remote client requires only that amount of hardware and software necessary to send Internet application commands and a unique STB **500** identifier upstream to the RCVR **97**. At the Headend **94** or Node **96**, application commands and STB **500** identifiers are conveyed from the RCVR

97 to an Ethernet Switch that is further coupled to a plurality of distinct AV content processing boards.

Figure 3 depicts a representative diagram of this prior-art solution that can accommodate delivering MPEG video content to multiple remote clients via the HFC network. In this solution, each AV content processing board establishes an Internet application session for each remote client that requests Internet AV content. The Internet AV content processing board recovers the requested Internet content and outputs the AV content to the STB 500 in a MPEG transport stream appended to a PID expected by the STB 500.

This solution presents a more affordable system for the end consumer as it shifts a substantial portion of the hardware and software costs that would typically impact the home up the RBB network to the CATV services provider, where the cost can be amortized over many users. This approach also permits the implementation of a relatively high performance Internet AV content delivery system. In contrast, the prior art solution suffers substantial cost and complexity for the RBB administrator and would likely therefore deter a RBB administrator from implementing the system depicted in figure 3. It follows that reducing costs for the RBB administrator has the potential to

increase industry acceptance of Internet AV content delivery over the HFC network. Accordingly, there is a need for less expensive system design that is capable of processing and retrieving the Internet content requested by remote clients, and delivering that Internet content in a format recognizable by remote clients.

Summary of the Invention

The present invention generally comprises a method of delivering compressed audio or video (AV) content over a broadband network to a decoder in a STB **500**. The method comprises the use of an AV Engine comprising at least two processing nodes including a Processing Node (PN) coupled to an Input/Output Node ("ION"). The ION is further coupled to an Internet connection, which enables the AV Engine to retrieve Internet AV content to the PN. The ION is further coupled to the RBB RCVR **97**, which enables bi-directional data communication between the AV Engine and the STB **500**. Data communication between the AV Engine and the STB **500** enables requests for Internet AV content to be sent to the AV Engine by the

STB 500; and channels and PIDs that will carry the retrieved content to be
sent to the STB 500 by the AV Engine.

The PN creates a spatially compressed frame of the AV content and signals
to the ION the availability of the spatially compressed frame of AV content.

5 Moreover, the PN receives a unique PID. The ION accesses the local
memory to retrieve the spatially compressed frame of Internet AV content and
creates a temporally compressed frames based on the spatially compressed
frame. The ION then transmits a stream of frames comprising a spatially and
temporally compressed representation of the Internet AV content with the
10 unique PID to the requesting STB 500.

Certain embodiments of the invention enable the recognition and delivery of
previously compressed audio and motion video to a requesting STB 500
without duplicative attempts at compression by the AV Engine.

Certain other embodiment of the invention provide for the delivery of video on
15 demand services.

Certain other embodiments of the invention implement the use an array of
processing nodes wherein at least a portion of the processing nodes perform

the function of the PN and at least another portion of the processing nodes perform the function of the ION.

Finally, the RBB network depicted in Figure 1 is for illustrative purposes only and is not intended to imply that the method or apparatus of the present invention to be described in the disclosure below is limited to any particular RBB network architecture. In light of the disclosure that follows, it is within the knowledge of an ordinarily skilled practitioner to modify the method and device of the present invention for alternate RBB network architectures.

Brief Description of the Drawings

- Fig. 1 depicts a generic residential broadband HFC network.
- Fig. 2a depicts a first embodiment of a thin remote client set top box.
- Fig. 2b depicts a second embodiment of a thin remote client set top box.
- Fig. 3 depicts a prior art system for delivering compressed video content to set top boxes.
- Fig. 4a depicts a first embodiment of the present invention.
- Fig. 4b depicts a second embodiment of the present invention.

Fig. 9 depicts a flow diagram representing the operation of an embodiment of a Control Processing Node of the present invention.

Description of the Preferred Embodiments

The preferred embodiment of the present system is useful for the delivery of compressed AV content to a remote client via an existing CATV RBB network.

Referring to figure 1, operation of the disclosed embodiments is initiated when

a remote client sends a request for Internet AV content to an AV Engine

implementing the present invention. The request from the remote client for

AV content may be transmitted to the present invention through the upstream

data path to the RCVR 97 of the RBB network, which is coupled to the

present invention; through a separate telephone line coupled to the present

invention by a telephony server; or through another custom communication

path.

For the purposes of this description, a remote client includes upstream

transmission capability and is coupled to Terminal Equipment (TE) located at

a client location. TE includes computer hardware and software capable of

decoding and displaying spatially and temporally compressed AV content.

For the purposes of this description, AV content includes still frames of video, frames of motion video, and frames of audio.

Figure 4a depicts a first embodiment of the AV Engine. The AV content

request from the remote client is communicated to the AV Engine from the

5 RCVR **97**. The RCRV **97** may be coupled to the AV Engine using an Ethernet

switch. In the first embodiment, the AV engine comprises a Central

Processing Unit (CPU) **10** coupled to local memory **12**, and also coupled an

Output Processing Unit (OPU) **14** that is further coupled to local memory **16**.

The CPU **10** and OPU **14** preferably each comprise an instruction set

10 processor that changes state based upon a program instruction. The CPU **10**

may be coupled to the OPN **14** using a variety of high-speed bi-directional

communication technologies. Preferred communication technologies are

based upon point-to-point traversal of the physical transport layers of the CPU

10 and the OPU **14** and may include a databus, fiber optics, and microwave

15 wave guides. Such communication technologies may also include a

messaging protocol supporting TCP-IP for example. Further embodiments

support Wavelength Division Multiplex (WDM) communications through the

physical transport layer coupling the CPU **10** and OPU **14**.

Upon receipt of the AV content request, an application session is initiated on the CPU 10. Moreover, the CPU 10 communicates back to the remote client to update the PID table and PMT of the remote client to contain a channel and PID that will carry the remote client's requested AV content. The CPU 10 is further coupled to a switched network such as the Internet through which AV content may be accessed and retrieved. Thus, the application session operated on the CPU 10 may comprise an Internet Browser application session that accesses Internet servers or databases available on the World Wide Web. The CPU 10 is coupled to memory 12 and controlled by application software to access the switched network and retrieve the AV content requested by the remote client and render the retrieved AV content to memory 12. The first embodiment further includes a software module that controls the CPU 10 to spatially compress the AV content. The presently preferred spatial compression performed on the AV content creates a MPEG2 I-frame without the traditional data overhead necessary to identify the program stream to a STB 500. Thereafter, CPU 10 passes the I-frame to the OPU 14 along with the unique PID with which to associate the I-frame. The OPU 14 receives the I-frame and stores it to memory 16. The OPU 14 is controlled by software to add three classes of information that transforms the

I- frame into an MPEG2 TS GoP. First, formatting data is included by the

OPU **14** that transforms the I-frame into an MPEG2 I-frame. The formatting

necessary to perform the I-frame to an MPEG2 I-frame is considered to be

obvious to one of ordinary skill in the art. Next, the OPU **14** calculates

5 MPEG2 P-frames and B-frames to render a MPEG2 TS. Finally, the OPU **14**

appends the unique PID expected by the remote client and commences

transmission of the MPEG2 TS representing the requested AV content. The

MPEG2 transport stream representing the AV content is subsequently output

to a Quadrature Amplitude Modulator (QAM) **210** and RF upconverter **220**

10 (collectively hereafter "Post Processing **200**") and transmitted **260** through

the RBB network to the remote client at a sufficient rate to ensure adequate

picture quality on the TE.

The same MPEG-2 transport stream that includes the first calculated GoP will

be continuously transmitted by the OPU **14** of the AV Engine to the remote

15 client until either new AV content is requested and the OPU **14** receives a

new I-frame, or until the application session is terminated either by a

command from the remote client or by prolonged inactivity. If the CPU **10**

receives a subsequent request for AV content from the remote client, the

process begins again generating a new MPEG2 transport stream representing the newly acquired AV content.

In a second embodiment depicted in figure 4b, the AV engine comprises a Input/Output Processing Node (IOPN) **30** coupled to local memory **32**

5 (collectively "IOPN **300**") and a Processing Node (PN) **100** including local memory **12** (collectively "PN **100**"). The PN **100** comprises at least one instruction set central processing unit (CPU) that changes state based upon a program instruction. Certain embodiments of the invention include a PN **100** comprising a plurality of instruction set CPUs.

10 Figure 4c depicts the interconnection between such type PN **100** and a IOPN **300**. In such embodiments, each of the plurality of instruction set CPU may actually comprise pair of dual-CPU that are bi-directionally coupled to the other dual-CPU and the IOPN **300**. Each dual-CPU within the PN **100** may be coupled to the other dual-CPU and the IOPN **300** using a variety of high-
15 speed bi-directional communication technologies. Preferred communication technologies are based upon point-to-point traversal of the physical transport layers of the dual-CPU and the IOPN **300** and may include a databus, fiber optics, and microwave wave guides. Such communication technologies may also include a messaging protocol supporting TCP-IP for example. Further

embodiments support Wavelength Division Multiplex (WDM) communications through the physical transport layer coupling the dual-CPU and IOPN **300**.

In this second embodiment, the IOPN **300** communicates all the throughput traffic to and from the AV engine and is therefore coupled to the switched network, the RCVR **97**, the PN **100**, and the post processing **200** hardware.

The IOPN **300** interfaces with the switched network to process the AV content requests of the PN **100** and may be coupled to the switched network with an Ethernet switch or equivalent. The IOPN **300** preferably couples to the switched network, the RCVR **97**, and the post processing **200** hardware using high speed fiber-optic interconnects.

Figure 4d depicts a third embodiment that further includes a Control Processor Unit **40** with memory **42** (collectively "CPN **400**"). At least one additional PN **100** may optionally be included in this embodiment. The IOPN **300** includes the quantity of communication ports to directly cross-couple each of the either CPN **400** or plurality of PN **100**. As with the previous embodiment, communication between the CPN **400** and the IOPN **300**, or the PN **100** and the IOPN **300** requires traversal of the physical transport layer of the IOPN **300**, the PN **100**, or the CPN **400**. Accordingly, the preferred physical transport layer includes high-speed technologies including fiber-

optics, databus, and microwave wave guides. The CPN **400** may be an instruction set computer that changes state upon the execution of a program instruction. Moreover, the CPN **400** may also comprise a dual-CPU such as that depicted in figure 4c and coupled to the IOPN **300** in the same manner as the PN **100**.

As with the previous embodiment, the IOPN **300** is coupled to the switched network and to the RCVR **97** to forward requests received from the remote clients to the plurality of PN **100**. The PN **100** establishes an Internet application session for each request for AV content received. The IOPN **300** also interfaces with the switched network to access and retrieve the AV content requested by the plurality of PNs **100**. The CPN **400** operates under program control to load balance multiple AV content requests received from distinct remote clients. The CP **400** program control distributes the AV content requests among the plurality of PN **100** to mitigate against performance degradation that would otherwise result if multiple remote client AV content requests were forwarded by the IOPN **300** to the same PN **100**. Thus, each PN **100** may acquire unique AV content and output a unique I-frame as a result of each remote client's AV content request and PN **100** application session. The IOPN **300** receives the I-frames and unique PIDs

representing the distinct AV content requests and subsequently assembles an MPEG2 GOP transport stream for each received I-frame of AV content. The IOPN **300** outputs the GoP transport streams to post processing **200** and Multiplexing **250** in preparation for output **260** and distribution through the RBB network to the remote client.

Figure 4e depicts a block diagram of a fourth embodiment of the present invention. This embodiment features the AV engine **1000** coupled **1002** to a DeMux Processor **600** and also to the RVCR **97** and the switched network **2**. The AV engine **1000** further comprises at least one array of processing nodes. Each of the processing nodes preferably comprises a pair of dual-CPU as depicted in figure 4c that are bi-directionally coupled to the other pairs of dual- CPU.

Figure 5a depicts an 4 x 4 array of processing nodes with 2 orthogonal directions. Moreover, the 4 x 4 array of processing nodes are orthogonally coupled (**R1, R2, R3, R4** and **C1, C2, C3, C4**,) as depicted in figure 5a.

Orthogonally coupled processing nodes indicates that each processing node is communicatively coupled to all processing nodes in each orthogonal direction in the array. Communicative coupled processing nodes support bi-directional communications between the coupled processing nodes. Each

processing node may contain a communications port for each orthogonal direction.

Each processing node may contain as many communications ports per orthogonal direction as there are other processing nodes in that orthogonal direction. In the array of Figure 5a, such processing nodes would contain at least 6 communication ports.

Figure 5b depicts an N^M array of processing nodes that are orthogonally coupled (**R1, R2, R3, RN** and **C1, C2, C3, CN**). N refers to the number of processing nodes within a processing node row or column and M refers to the number of orthogonal dimensions in the array of processing nodes, which is two in Figure 5b.

The previous illustration of orthogonal coupling between processing nodes employed direct point to point interconnections, whereas this illustration portrays orthogonal coupling as a single line for each row and column of processing nodes but still indicates orthogonal coupling as defined by **R0, R1, R2, RN** and **C0, C1, C2, CN** in figure 5a. Different implementations may employ at least these two interconnection schemes.

Each of the processing nodes is physically distinct and thus communication between nodes comprises traversal of the physical transport layer(s).

Traversal from one processing node to another coupled processing node is hereinafter referred to a Hop.

- 5 Hopping via processing node orthogonal coupling enables communication between any two processing nodes in the array in at most M Hops.

$P-1$ additional N^M arrays can be added for a total of $P \cdot (N^M)$ processing nodes. Orthogonal coupling between the P arrays enables communication between any two arrays in the P array in one Hop. Communication from a

- 10 processing node of a first array to a processing node of a second array would take a maximum of $2 \cdot M + 1$ Hops.

In certain embodiments implementing the processing array, the AV engine

1000 comprises a two-dimensional array of processing nodes as depicted in figure 6a. A CPN **400** is positioned at the coordinates $[0:0]$ and a plurality of

- 15 IOPN **300** are positioned at the processing nodes $[1:1, 2:2, N-1:N-1]$.

The CPN **400** may comprise a pair of dual-CPU. CPN **400** may further comprise an additional I/O CPU as depicted in figure 4c. The I/O CPU may further comprise a dual-CPU. A CPU of CPN **400**, operating under program

control, may perform load balancing of the remote client requests for AV content.

The IOPN **300** in this embodiment may comprise dual-CPU as depicted in figure 4c. IOPN **300** may further comprise a pair of dual-CPU and at least an additional I/O CPU. The I/O CPU may further comprise a dual-CPU. The I/O CPU may interface with an Ethernet switch. See figure 6b.

Each pair of dual-CPU within the array of processing nodes may be coupled to the other pairs of dual-CPU using a variety of communication mechanisms. These communication mechanisms support bi-directional communications.

The communication mechanisms may be based upon point-to-point traversal of the physical transport layers of pairs of dual-CPU. The communications mechanisms may include a databus, fiber optics, and microwave wave guides. Such communication mechanisms may also include a messaging protocol supporting TCP-IP for example. Further embodiments support Wavelength Division Multiplex (WDM) communications through the physical transport layer(s) coupling the dual-CPU pairs.

The AV engine may comprise a first **1004**, and a second **1006**, two-dimensional array of processing nodes as depicted in figures 6c and 6d

respectively and shown collectively in figure 6e. The first and second arrays may contain a CPN **400** at each processing node designated by the coordinates [0:0] in each array. Further, a plurality of IOPN **300** may be positioned at the remaining processing nodes along the diagonal from the

5 CPN **400** in each array (e.g. IOPN **300** are at the array coordinates designated by [1:1], [2:2], [N-1:N-1]). Moreover, the IOPN **300** of the first **1004** array may orthogonally couple to its corresponding IOPN **300** in the second **1006** array.

This arrangement of IOPN **300** enables input and output from any PN **100** in

10 the arrays to any other PN **100** in the arrays after at most 5 Hops. An equivalent communication performance could also be achieved by an arrangement of the CPN **400** and the IOPN **300** along the other diagonal of the array.

Figure 6e depicts the coupling between CPN **400** and the IOPN **300** of the

15 first and second arrays. Figure 6e omits the illustration of cross-coupling of processing nodes within the first **1004** and second **1006** arrays merely to reduce picture clutter and emphasize the interconnect between the first **1004** and second **1006** arrays.

Each pair of dual-CPU within the array of processing nodes may be coupled to the other pairs of dual-CPU using a variety of high-speed bi-directional communication technologies. Preferred communication technologies are based upon point-to-point traversal of the physical transport layers of the pairs of dual-CPU and may include a databus, fiber optics, and microwave wave guides. Such communication technologies may also include a messaging protocol supporting TCP-IP for example. Further embodiments support

Wavelength Division Multiplex (WDM) communications through the physical transport layer coupling the pairs of dual-instruction set CPU.

In the preferred embodiment, the AV engine **1000** comprises a first **1004**, and a second **1006**, two-dimensional array of processing nodes as depicted in

5 figures 6c and 6d respectively. The first and second arrays situate a CPN **400** at each processing node designated by the coordinates [0:0] in each array.

Further, a plurality of IOPN **300** are positioned at the processing nodes along the diagonal from the CPN **400** in each array, *e.g.* IOPN **300** are at the array coordinates designated by [1:1], [2:2], [N-1:N-1]. Moreover, the IOPN **300** of

10 the first **1004** array is orthogonally coupled to its neighboring IOPN **300** in the second **1006** array. This arrangement of IOPN **400** enables input and output from any PN **100** in the arrays after at most 1 Hop, or to a specific IOPN in at

most two Hops. An equivalent communication performance could also be achieved by an arrangement of the CPN **400** and the IOPN **300** along the

15 other diagonal of the array. Figure 6e depicts the preferred cross-coupling between CPN **400** and the IOPN **300** of the first and second arrays. Figure 6e omits the illustration of cross-coupling of processing nodes within the first **1004** and second **1006** arrays merely to reduce picture clutter and emphasize the interconnect between the first **1004** and second **1006** arrays.

In this preferred embodiment, retrieval and processing of the AV content is performed by the PN **100** upon receipt of a request for Internet AV content forwarded from an IOPN **300**. Like the previous embodiments, each PN **100** processing a remote client AV content request passes a I-frame to an IOPN **300**, which in turn, formats the MPEG2 TS GoP that includes the PID expected by the remote client.

The delivery of multimedia content poses unique problems and is accorded special treatment by the AV Engine implementing the present invention. If at least a portion of the Internet AV content requested the remote client comprises multimedia content, the program controlling the PN **100** loads a software plug-in associated with the particular type of multimedia content requested. Thereafter, software plug-in controls the PN **100** to write the Internet Application background display content and the software plug-in writes a representation of the playback application window and associated user controls to the local memory device. Alternatively, a simple bitmap representation of the browser display screen can be prepared for remote client(s) that are incapable of decoding and displaying more than one MPEG2 window.

